



06-11-02

GP/3662

PATENT  
Attorney Docket No. 327

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Balakrishnan Sridhar, et al. )  
Serial No.: 09/677,344 ) Group Art Unit: 3662  
Filed: October 2, 2000 ) Examiner: Unassigned  
For: THREE-STAGE OPTICAL AMPLIFIER )  
HAVING FLAT SPECTRAL GAIN )

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

RECEIVED

JUN 17 2002

GROUP 3600

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. Each document listed in this Information Disclosure Statement was cited in a communication in a counterpart PCT application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

Copies of the listed documents are attached. For the Examiner's convenience, Applicants have attached a copy a PCT International Search Report listing the attached documents. Applicants do not necessarily endorse the conclusions set forth in the International Search Report.

**Certification Under 37 C.F.R. Section 1.10**

I hereby certify that this Transmittal Letter and the documents referred to as enclosed therein is being deposited with the United States Postal Service on this 10<sup>th</sup> day of June, 2002 in an envelope marked as "Express Mail Post Office to Addressee" Mail Label Number EV135776897US addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Carol S. Parker-Hines  
(type or print name of person mailing paper)  
Carol S. Parker-Hines  
(signature of person mailing paper)

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

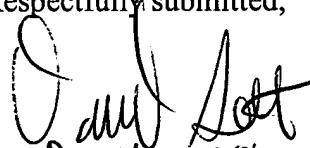
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies these documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

Date: June 10, 2002

By:

Respectfully submitted,

  
Reg. No. 39,731 fur  
Michael R. Cammarata  
Reg. No. 39,491

*CIENA Corporation*  
Legal Department  
1201 Winterson Road  
Linthicum, Maryland 21090  
Tel.: (410) 865-8500  
Fax: (410) 865-8001